

CALIFORNIA CONSUMER PRIVACY NOTICE

If you are a California resident, California law provides you with certain rights pursuant to the California Consumer Privacy Act (“CCPA”). By providing you this notice, American Fundstars Financial Group LLC (“we,” “us,” or “our”) outlines the rights of California residents with regard to personal information that we collect about you through our website for marketing or information requesting purposes. Our collection and use of your Personal Information for investment advisory, wealth management, financial planning, tax, estate planning and other related services on your behalf for your personal benefit is generally governed by the Graham Leach Bliley Act (the “GLBA”), the California Financial Information Privacy Act (“CalFIPA”), or the Fair Credit Reporting Act (“FCRA”). For all information not provided for Financial Purposes and governed by the GLBA, this notice outlines our comprehensive compliance framework implemented in accordance with our compliance obligations under the CCPA. Any terms defined in the CCPA will have the same meaning when used in this notice. This California Consumer Privacy Notice supplements the information contained in our privacy notice.

A. Types of Personal Information Subject to California Privacy Law

In accordance with California law, we collected the following categories of Personal Information within the preceding 12 months:

- Identifiers such as your name, alias, email address, mailing address, IP address, and online identifiers. We use this information to respond to new business solicitations regarding our services, setting up and managing accounts, performing financial advisory and wealth management services, and targeted marketing services in accordance with our Cookie Policy.
- Certain categories of Personal Information described in subdivision (e) of California Civil Code Section 1798.80, including but not limited to, name, address, telephone number, employment status, and, if you are a client, your financial information. We use this information to respond to new business solicitations regarding our services, setting up and managing accounts, performing financial advisory and wealth management services, and targeted marketing services in accordance with our Cookie Policy.
- Protected classification characteristics under California or federal law. We do not require you to provide protected classification characteristics. However, please carefully consider the information contained within new account applications and advisory agreements to ensure that you are not providing any protected classification characteristics that are not necessary for your engagement with us as your advisor. Dependent upon the scope of services, a financial custodian may require certain information from you in order to perform the services (the most common being birth date). We may collect this information on behalf of the custodian. Protected classification characteristics include your age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, genetic information (including familial genetic information), and veteran or military status. We and the third-party custodian may use this information to perform wealth management and financial advisory services tailored to meet your specific financial and life goals.
- Internet or other electronic network activity information, including cookie data and other information on your usage of our website. We use this information to provide targeted advertising based upon your interest in us.

- Professional or employment-related information including any information provided in a resume/CV or job seeker profile. We use this information for the limited purpose of employment screening and hiring practices.

We do not sell data. We may share each of these categories of Personal Information with our service providers to the extent necessary to perform administrative services for us, if a client or client representative directs us to do so, or if we are compelled by law to disclose personal information, such as to government entities, credit bureaus or in response to subpoenas.

B. Your California Privacy Rights

If you are a resident of State of California, you have the following rights:

Right to Access:

You can request a disclosure and copy of specific Personal Information we may have collected about you in the 12 months before your request. Upon verification of your request, we will disclose to you if applicable:

- a. The categories of PII we have collected;
- b. The categories of sources of PII;
- c. our use of the PII; and
- d. If the information was disclosed to third parties, the categories of information disclosed and the categories of third parties to whom such information was disclosed.

Right to Not Be Discriminated Against:

You have the right not to be discriminated against on account of exercising the rights granted to you under the CCPA. We do not provide financial incentives for sharing data.

Right to Delete:

You may have the right to request us to delete Personal Information that we collected from you. However, we may not be able to delete your personal information if such information pertains to your employment or is required to be retained by law. Please send all requests via email or mail to the address listed below. Upon receipt of your deletion request, we will review and confirm if it is a verifiable request, and if so, we will delete (and direct our third-party suppliers to delete) your data. We may deny a deletion request, in whole or in part, if retaining the information or a portion of the information is required for us or our third-party suppliers and contractors under an exemption called out in the CCPA, including (if applicable):

- a. Information collected pursuant to the California Financial Information Policy as well as the Graham Leach Bliley Act.
- b. Information required to meet ongoing commercial obligation on your behalf;
- c. To comply with applicable laws, subpoenas, legal proceeding, or audit; or
- d. In order to improve our internal business practices, such as updating our website, improving cybersecurity, and any other solely internal business purpose reasonably anticipated within the context of our business relationship.

Only you, or someone appointed by you and registered with the California Secretary of State, may request deletion of your data. The deletion request must provide sufficient detail to enable us to identify you, such as providing your identifiable information to cross-reference with any submitted data, and describe your

deletion request with sufficient detail that allows us to understand that you desire to exercise your rights under the CCPA. We do not require you to create an account and will only use any data submitted to us for a deletion request for that specific request. You may only submit two requests every twelve months, or as otherwise set forth in the CCPA.

We will endeavor to respond to any verified consumer request within 45 days. If we are unable to respond, we will provide written notice to extend the response time by up to 90 additional days where necessary, taking into account the complexity and number of the requests. We shall inform the consumer of any such extension within 45 days of receipt of the request, together with the reasons for the delay. If we are unable to complete your request, we will inform you, without delay and at the latest within the time period allotted above, of the reasons for not taking action and any rights you have to appeal the decision to us.

Opt-Out

California Civil Code Section 1798.83 permits you to opt-out of the disclosure of your personal information by us to third parties for the third parties' direct marketing purposes. We do not disclose your personal information to third parties for the third parties' direct marketing purposes unless you affirmatively agree to it. If this policy were to change, you can opt-out of such disclosures by sending us an email to iris.liu@americanfundstars.com or writing us at:

American Fundstars Financial Group LLC
ATTN: Compliance and Legal
4695 MacArthur Court, Suite 560
Newport Beach, CA 92660